

**SMB FINANCE PLC**

Registration No. PQ91
 No. 282/1, CBS Building, Galle Road, Colombo 03.
 Web: www.smbk.com
 Telephone: +94114222888

Account Opening Form for Corporate

For Office Use Only

Introducer

Dept/Branch

CUS. ID. No.

Fixed Deposit

Savings

Branch	Account type	Savings <input type="checkbox"/>	Fixed deposit <input type="checkbox"/>	Date	D	D	M	M	Y	Y	Y	Y
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Type of Business

- | | |
|---|---|
| <input type="checkbox"/> Sole proprietorship | <input type="checkbox"/> Trusts |
| <input type="checkbox"/> Partnership Company | <input type="checkbox"/> Limited by guarantee |
| <input type="checkbox"/> Charities | <input type="checkbox"/> Public unquoted |
| <input type="checkbox"/> Private limited company | <input type="checkbox"/> NGOs |
| <input type="checkbox"/> Public limited company | <input type="checkbox"/> Other |
| <input type="checkbox"/> Clubs/Societies/Associations | |

Details of Company

Name of company		Office address	
Business registered No.		Correspondence address	
Date of incorporation		Country of incorporation	
Nature/purpose of business		Telephone number	Fax No.
Contact person	Name with title		
	Designation		
	Telephone number	Mobile No	
	E-mail		

Tax Declaration

Income tax file	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Income tax file No	VAT file No.	

Details of Account (For saving deposits only)

Initial Deposit (Rs.)	
Statement collection method	By post <input type="checkbox"/> By Email <input type="checkbox"/> To be collect at branch when required <input type="checkbox"/>

For Fixed Deposit Only

Deposit period(In Months) <input type="checkbox"/> 1 <input type="checkbox"/> 3 <input type="checkbox"/> 6 <input type="checkbox"/> 12 <input type="checkbox"/> 24 <input type="checkbox"/> 36 <input type="checkbox"/> 48 <input type="checkbox"/> 60	Interest rate	Automatic renewal indicator Capital Only <input type="checkbox"/> Capital with interest <input type="checkbox"/> Other <input type="checkbox"/>
Interest payment mode Monthly <input type="checkbox"/> Maturity <input type="checkbox"/> Annually <input type="checkbox"/> Other <input type="checkbox"/>		It is SMBF's standard procedure to automatically renew the deposit with interest for the same period at the interest rate that is in effect on the date of maturity, unless it is instructed to us otherwise.

Interest Payment Instructions

Name of financial institute	Branch	
Type of account	Savings <input type="checkbox"/> Current A/c <input type="checkbox"/>	Account No.
If by cheque	By post <input type="checkbox"/> To be collected <input type="checkbox"/>	Payee name of the cheque

Accounts with other financial institute

Name of the Financial Institute	Branch	Type of Account	Account No.
1.			
2.			
3.			
4.			
5.			
6.			

Expected Mode of Transactions /Delivery channels		
Cash <input type="checkbox"/>	Cheque <input type="checkbox"/>	Electronic Fund Transfer <input type="checkbox"/> Other <input type="checkbox"/>
We forward herewith the following documents relevant to the account opening request		Enclosed <input type="checkbox"/> Not Applicable <input type="checkbox"/>
Certified copy of a resolution of the Board of Directors to opening of the corporate account.		
Certified copy of a resolution of Board of Directors authorizing authorized signatories for operations of saving account		
Copy of the partnership agreement/consent letter signed by all partners (For partnerships)		
Certified copy of certificate of incorporation		
Certified copy of application for registration of a company (Form-1) or a pplication for registration of an existing company (Form – 40)		
Certified copy of form - 20(Change of Directors/ Secretary and particulars of Directors/ Secretary)		
Certified copy of form – 13 (change of registered office address, if any)		
Certified copy of articles of association		
Certificate to commence business (for Public Limited Company)		
Certified copy of national identification card/ Driving license/ Valid passport copies of all directors and authorized signatories		
Company KYC form		
Individual KYC form of all directors		
Individual KYC form of all authorized signatories(For savings account only		
Latest audited accounts, if available		
Certified copy of form – 44 (Full address of the registered or principal office of a company incorporated outside Sri Lanka with a place of business established in Sri Lanka)		
Certified copy of form - 45 (List and particulars of the directors of a company incorporated outside Sri Lanka with a place of business established in Sri Lanka)		
Copy of the Board of Investment Agreement, if a Board of Investment approved company		
Copy of the Export Development Board (EDB) approved letter if an EDB approved company		
Anti-Money Laundering and Environmental Declaration		
I/We hereby declare and confirm that all the money that I/we use for the purpose of this facility / deposit is earned or received by me/ us through legitimate sources and is not derived or realized; directly or indirectly, from any unlawful activity or from proceeds of any unlawful activity. I/We also declare and confirm that any money that I/ we will be using in the future to make any payments in terms of this agreement including rentals, capital and/or interest shall be money earned or received by me/us in terms of this agreement shall only be used for legitimate purposes and shall not be used for any unlawful activity. (The words “unlawful activity”shall have the same meaning as defined in the prevention of Money Laundering Act. No. 05 of 2006.)		
I/We wish to also confirm that all my/our sources of income are generated through activity which are conducted in compliance with the environmental laws, rules and regulations which are in force in the country and will ensure that all payments that I/we make including rentals, capitals, interest, fees or any deposit in terms of this agreement is now as well as in the future shall be from income generated through sources or activities which are in compliance with the above laws rules and regulations.		

We authorize the company to action the instructions of the persons authorized by the attached board resolution to operate the account and hereby certify that the information provided above and in the annexure hereto are true and correct.

We hereby confirm that copies of the General Business Conditions and other terms and conditions of the Company applicable to the product(s)/ service(s) which we have applied for hereunder together with the details relevant to such product(s)/service(s) were given and explained to us before signing hereof and we have read and understood the detailed terms and conditions therein contained and agree and consent to be bound thereby.

Full Name of Directors with title	NIC/PP No.	Full Signature with company seal
1.		
2.		

RULES AND REGULATIONS REFERRED TO THE DEPOSIT

- Eligible deposit liabilities are insured with the Sri Lanka Deposit Insurance Scheme implemented by the Monetary Board for compensation up to a maximum of LKR 1,100,000 per eligible depositor.
- SMB Finance PLC reserves the right to report any kind of suspicious transactions and the transactions above threshold of LKR.1,000,000 to the Financial Intelligence Unit of Sri Lanka, adhering to regulations issued under the Financial Transaction Reporting Act No. 06 of 2006 and the direction issued thereof.
- It is also understood that the fixed deposit receipt issued by SMB Finance PLC is not transferable and the Company will not release the deposit until the deposit receipt is duly endorsed by the depositor s and surrendered to the Company.
- For savings account, interest will be calculated on daily balance and credited monthly.
- The expiry of the term or period of the deposit whether original or subsequent and the delivery to the Company of the deposit receipt duly discharged as aforesaid are to be together conditions precedent to the release of any money lying in the deposit account.
- Deposit will be for the fixed period specified above and will be re-payment on the maturity date.
- Pre mature withdrawals will be solely at the discretion of the management and will be subject to an interest adjustment due to the reduced term of investment.
- The Company reserves the right to amend the terms and conditions on which a deposit is held at any time and in any manner which the Company deems necessary with or without any notice to the depositors. However, interest rate on fixed term deposits will remain unchanged except in a situation where such rates are subject to Government Directives due to changes in monetary and fiscal policy.
- I/We authorize SMB Finance PLC to disclose any information pertaining to this deposit/savings account to a party legally authorized to obtain such information according to any existing law in Sri Lanka.
- SMB Finance PLC should be immediately informed in writing in the event of any change in your address, contact no. or loss of fixed deposit receipt.

Mandatory Checks (For Office use only) (Tick as appropriate)		
(1) Documents Required		
a)	Duly filled account opening mandate	
b)	Duly filled Know Your Customer (KYC) Form - Companies	
c)	Duly filled Know Your Customer (KYC) for Directors of the Company	
d)	Required documents to open the account as referred in the Mandate	
e)	List of Authorized Signatories with a board resolution	
(2) Verification and Client Creation		
a)	Name of the company is in consistent with certificate of incorporation	
b)	Registered address of the company is in consistent with Form 1, Form 40 or Form 13	
c)	Details of the individual directors are entered to the system	
(3) Sanction Screening		
a)	Does the customer or any of its directors appear in the sanction List (UNSCR 1373, 1267) or any other alert list?	
<p>.....</p> <p>Checked By</p>		<p>.....</p> <p>Authorized By</p>
Emp No.		Emp No.